JUN 1 4 2002

MARSHALL, GERSTEIN & BORUNGROUP 1600
ATTORNEYS AT LAW
6300 SEARS TOWER
233 SOUTH WACKER DRIVE

CHICAGO, ILLINOIS 60606-6357 (312) 474-6300 FAX: (312) 474-0448 OFFICIAL

June 13, 2002

FACSIMILE TRANSMISSION SHEET

TO

Group 1600

COMPANY

Patent and Trademark

Office

FAX NO.

1-703-872-9306

PHONE NO.

FROM: Sharon M. Sintich

EXTENSION:

818

PAGES (INCLUDING THIS PAGE):

5

CLIENT NO:

28110

MATTER NO:

35878A

PLEASE CONFIRM RECEIPT:

Yes

COUNTRY CODE:

US

MESSAGE:

Serial No. 10/082,902

Please contact Jill L. Schumerth at (312) 474-6818 if you do not receive all of the pages in good condition.

The material of this transmission contains confidential information intended only for the addressee. If you are not the addressee, any disclosure or use of this information by you is strictly prohibited. If you have received this facsimile in error, please notify us by telephone immediately.

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. 1-703-872-9308, on the date shown below.

Dated: June 13, 2002

Signature: Many Shirichi Sharon M. Shirichi

Docket No.: 28110/35878A

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Dennis G. Ballinger, et al.

Application No.: 10/082,902

Group Art Unit: 1647

Filed: February 26, 2002

Projected Examiner: C. Saoud

For:

GROWTH FACTOR ANTAGONIST MATERIALS AND METHODS

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents Washington, DC 20231

Dear Sir:

Submitted herewith is a form PTO/SB/08 listing several documents. Copies of all documents have been submitted in the parent application no. 09/370,398 filed August 6, 1999 and thus pursuant to 37 CFR §1.98(d), copies are not submitted herewith.

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR §1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein constitutes prior art under 35 USC §102 or §103.

Application No.: 10/082,902

Docket No.: 28110/35878A

In accordance with 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR §1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR §1.98 and the Examiner is respectfully requested to consider the listed references.

No fee is believed to be due under 37 CFR §1.97 (b) because this statement and form PTO/SB/08 are being submitted before receipt of a first office action on the merits in the above-identified patent application. However, the Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855. A duplicate copy of this paper is enclosed.

Dated: June 13, 2002

Respectfully submitted,

Sharon M. Sintich Registration No.: 48,484

MARSHALL, GERSTEIN & BORUN

233 S. Wacker Drive, Suite 6300

Sears Tower

Chicago, Illinois 60606-6357

(312) 474-6300

Attorneys for Applicant

PTO/SB/08A (10-01)

| | Approved for use through 10/31/2002.OMB 0651-0031 |
|---|--|
| Under the Pagenunik Reduction Act of 1005 | U. S. Palent and Trademark Office: U.S. DEPARTMENT OF COMMERCE |
| to remark recorded to reason, no persons are required to re | U. S. Palent and Trademark Office: U.S. DEPARTMENT OF COMMERCE spond to a collection of Information unless it contains a valid OMB profest |

| Substitute for form 1448A/PTO | | | | espond to a cottection of information unless it contains a valid OMB control numb Complete If Known | | |
|--|---|----|---|--|---------------------|--|
| 18.0 | | | | Application Number | 10/082,902 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Filing Date | February 26, 2002 | |
| | | | | First Named Inventor | Dennis G. Ballinger | |
| | | | | Art Unit | 1647 | |
| | | | | Examiner Name | Not Yet Assigned | |
| Sheet | 1 | of | 2 | | 28110/35878A | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------|---|--------------------------------|---|--|--|
| Examiner Initials* | Cita No.* | Document Number Number-Kind Code ² (Finown) | Publication Date MM-DD-YYYY | Name of Patentee of Applicant of Cited Document | Pages, Cotumen, Lines, Where Relevant Pageages or Relevant | |
| | | | | | Figures Appear | |

| ļ | FOREIGN PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|--|--------------------------------|--|--|---|
| Examiner Initials* | Cite No.1 | Foreign Palent Document Country Code ³ -Number'-Hind Code ³ (If known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant | |
| | | -WO 00/15781- -WO 98/20032- | 03-23-2000 05-14-1998 | | Figures Appear | Ė |

¹ Applicant's unique citation designation number (optional). ¹ See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04, ¹ Emir Office that issued the document, by the two-letter odde (WIPO Standard ST.3). ² For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

| | T | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | |
|--------------------|--------------|---|----------|
| xaminer nitials | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-Issue number(s), publisher, city and/or country where published. | τ; |
| | C | 1999). | T |
| | D | de MAXIMY et al., Cloning and Expression Pattern of a Mouse Homologue of Drosophila Sprouty in the Mouse Embryo, Mechanisms of Development 81: 213-216 (1999). | + |
| | E | Branching of the Drosophila Airways Cell 92: 253 263 (Ingues 22) 1888 | ╀ |
| | F | Phosphoprotein Inhibitors of Growth Factor Signaling in Endothelial Cells, The Journal of Cell Biology 152(5): 1087-1098 (March 5, 2001) | ┝ |
| | G | KRAMER et al., Sprouty: a Common Antagonist of FGF and EGF Signaling Pathways in Drosophila, Development 128: 2515-2525 (May 1990) | ┝ |
| | H | LEE et al., Inhibition of Angiogenesis by a Mouse Sprouty Protein. The Journal of Biological Chemistry 276(6): 4128-4133 (February 9, 2001). | H |
| | 1 | METZGER et al., Genetic Control of Branching Morphogenesis, Science 284: 1635-1639 (June 4, 1999). | \vdash |
| | J | MINOWADA et al., Vertebrate Sprouty Genes are Induced by FGF Signaling and can Cause Chondrodysplasia When Overexpressed, Development 126: 4485-4475 (September 27, 1999). | |
| | < | PLACZEK and SKAER, Airway Patterning: A Paradigm for Restricted Signaling, Current Biology 9: R506-R510 (1990). | _ |
| [' | - | REICH et al., Sprouty is a General Inhibitor of Receptor Tyrosine Kinase Signaling, Development 126: 4139-4147 (August 23, 1998). | - |
| 7 | vi | LEFFT et al., Conserved Function of mSnn-2 a Muring Homelog of Deventille Conserved | _ |
| r | 1 | Which Negatively Modulates Respiratory Organogenesis, Current Biology 9: 219-222 (1999). WONG et al., Evidence for Direct Interaction Between Sprouty and Cbl. The Journal of | |
| |) | Biological Chemistry 276(8): 5866-5875 (February 23, 2001). LIM et al., Sprouty Proteins Are Targeted to Membrane Ruffles upon Growth Factor Receptor Tyrosine Kinase Activation, The Journal of Biological Chemistry 275(42): 32837-32845 (October 20, 2000). | |

PTO/SB/08B (10-01)
Approved for use through 10/31/2002 OMB 0851-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449B/PTO Complete if Known Application Number 10/082,902 INFORMATION DISCLOSURE FIRing Date February 26, 2002 STATEMENT BY APPLICANT First Named Inventor Dennis G. Ballinger Group Art Unit 1647 (use as many sheets as necessary) Examiner Name Not Yet Assigned Sheet 2 of 2 Attorney Docket Number 28110/35878A

| | | SASAKI et al., Identification of a Dominant Negative Mutant of Sprouty That Potentiates Fibroblast Growth Factor-but Not Epidermal Growth Factor-induced ERK Activation, The Journal of Biological Chemistry 276(39): 36804-36808 (September 28, 2001). | Ì |
|--|----------|---|---|
| | <u> </u> | YIGZAW et al., The C Terminus of Sprouty is Important for Modulation of Cellular Migration and Proliferation, The Journal of Biological Chemistry 276(25): 22742-22747 (June 22, 2001). | |

| Examiner | |
|-----------|------------|
| Signature | Date |
| Signatore | Considered |
| | CONSIDERED |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant,

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.